

Proceeding of the First meeting Empanelment Committee
held on 14-07-2014 from 11:00 hrs in room no. 252 of
M S Building, Bangalore

No: **KEA 130 EVN 2014**

Date: **14th July 2014**

(The details of the members and invitees who attended the meeting is appended at the end)

The Chief Evaluation Officer (CEO) of Karnataka Evaluation Authority (KEA) and chairperson of the Empanelment Committee for empanelment of Evaluation Consultants and Independent Assessors welcomed all the members of the committee to the meeting. He briefed the members about the activities of the KEA and the role of Evaluation Consultant Organizations in evaluation.

The chairperson informed the members that this committee has been constituted under the Manual for Empanelment of Evaluation Consultants, which has been approved by the Government of Karnataka vide order no. PD/23/PSD/2014 dated: 11-04-2014. (The contents of this manual, up to chapter 5, had been sent to all members along with the meeting notice and that covers the subject of empanelment of Evaluation Consultants).

The Member-Secretary of the committee informed that the chairperson had constituted a team of 3 officers of KEA under order bearing no. KEA 109 EVN 2014, dated: 23-05-2014 as “*desk appraisal team*”. The team has done the desk appraisal, and allotted scores to those eligible among the 50 applicant Consultant Evaluation Organizations (COs) who had applied for empanelment in response to notification no. KEA 109 EVN 2014, dated: 19-04-2014 & 20-05-2014. The last date for receiving the applications was fixed as 07-06-2014.

The Member-Secretary of the committee informed that the geographic distribution of applicant evaluation consultant organizations is as follows:-

Table-I

Sl No.	State in which the headquarters of applicant consultant organizations is	Number of applicants
1	Outside India	0
2	Karnataka	38
3	Delhi	4
4	Tamil Nadu	1
5	Andhra Pradesh	4
6	Uttar Pradesh	1
7	Uttarakhand	1
8	Madhya Pradesh	1
Total		50

The desk appraisal team, it was informed, has gone by the contents of paragraph 11 (2) of the manual and found that the following applicant organizations did not satisfy the “**Essential Eligibility Criteria**” for the reasons mentioned against each of them in the table below:-

Table-II

Sl No.	Name and address of the applicant evaluation consultant organizations	Points of essential eligibility not being satisfied
1	M.V Associates, Civil Engineering and Project Management Consultant, #171/5, 1st Main, 1st Cross, Opp: BJP Party Office K.B. Extension, Davanagere-577 004. Ph:08192-222095, 98448 43564, e-mail: mvassociates2000@gmail.com	This is a proprietorship concern. It doesn't fall under the definition of Consultant Organization (CO), which is defined in the opening paragraph of the Empanelment manual dealing with meaning of technical terms as “ <i>a company, firm, society, trust, university, research institute or any other organization, registered or created under a law enacted by the Indian Parliament or a Legislative assembly of State, or a department, division, center, unit thereof who</i>

		<p><i>provides independent consultation, advise, research or implementation support to other organizations.”</i></p> <p>Further under the essential eligibility criteria paragraph 11(2)(A) of the Empanelment Manual, this concern is not registered under a statute in India as a CO.</p>
2	<p>IORA Ecological Solutions, 225B, FF, Indraprastha Gyanmandir Complex, Lado Sarai Village, New Delhi-110 030, Ph:+91-11-41077549, e-mail: info@ioraecological.com</p>	<p>As perform 1, this company is incorporated under the companies Act-1956 on 03-11-2009. The essential eligibility criteria under paragraph 11(2)(A) of the Empanelment Manual contemplates that the Consultant Organization (CO) should have been formed/registered under a statute in India, and should have been in existence for at least 5 years preceding the application year. This CO has completed only 4 years of its formation/registration preceding the application year.</p>
3	<p>United Social Welfare Association of Belgaum, # 2528, Gore Khan Building, Income Tax Colony, Mahantesh Nagar, Belgaum-590 016. Ph: 9880350568, e-mail: uswabelgaum@yahoo.com</p>	<p>This organization has done only 3 evaluations in the past 5 years using its own research staff, but as per paragraph 11(2)(D) of the Empanelment Manual, a Consultant Organization (CO) to be eligible for empanelment should have completed 5 evaluation studies over the past 5 years.</p>
4	<p>Grassroots Research and Advocacy Movement (GRAAM), #CA-2, KIADB Industrial Area, Ring Road, Hebbal, Mysore-570 016. Ph: 0821 2415412, e-mail: graam@graam.org.in</p>	<p>GRAAM has commenced its operations from its inception in January-2011. Thus, it is in existence for less than five years preceding the application year, violating the essential eligibility criteria in paragraph 11(2)(A) of the Empanelment Manual</p>

5	<p>Spoorthy Development Society, "SNEHA KUNJA" Behind SBH Basavanagar, Lingasuru- 584 122, Raichur District. Ph: 9448690616, e-mail:</p>	<p>This is a society that doesn't full fill essential eligibility criteria of paragraph 11(2)(D) of the Empanelment Manual which states that the Consultant should have conducted at least 5 Evaluation/Research or Consultancy studies over the past 5years using its own research staff.</p>
6	<p>Shri Chamundeshwari Educational & Rural Development Society, Thyagaraja Colony, Kolar Dist. Mulbagal-563 131. Mobile: 9916562480, e-mail:</p>	<p>This is a society that doesn't full fill essential eligibility criteria of paragraph 11(2)(D) of the Empanelment Manual which states that the Consultant should have conducted at least 5 Evaluation/Research or Consultancy studies over the past 5years using its own research staff.</p>
7	<p>Priyanka Urban & Rural Development Organization, #8, 6th Main Road, Near Gangamma Temple, Ganganagar, RT Nagar Post, Bangalore-560 032. Ph:23543929, e-mail: priyankauro@yahoo.com</p>	<p>This NGO has not conducted any evaluation studies so far, even though established 5 years back and also they don't have any qualified researcher on their pay roll. Their net worth is less than 25 lakhs. Collectively this makes the organization not follow the essential criteria for empanelment detailed in paragraphs 11(2)(B), (D) and (E) of the Empanelment Manual.</p>
8	<p>Caritor Solutions India Pvt Ltd, #221, 9th Main Road, Jayanagar 5th Block, Bangalore-560 041. Ph: 4905 5555, e-mail: info@cartitorsolutions.com</p>	<p>This is a Private limited Company has been established under the Company's Act on 19-08-2009, hence doesn't full fill the essential criteria for empanelment of paragraph 11(2)(A)of the Empanelment Manual, wherein it states that the Consultant Organization (CO) should have been formed/registered under a statute in India and should have been in existence for at least 5 years preceding the application year. The CO has</p>

		completed 4 years of its existence preceding 2014-15.
9	Bharatiya Seva Samithi, #363, 7th Main, Bhuvaneshwari Nagar, T. Dasarahalli, Bangalore-560 057. Ph: 2839 9054, Mobile No: 94488 84497, e-mail: bharatiya_organ@yahoo.com	This is a society under the Karnataka Society Registration Act-1960, has not conducted 5 evaluations studies over the past 5 years which means inconsonance with essential eligibility criteria of paragraph 11(2)(D) of the Empanelment Manual.
10	Shreyoshree Rural Technology And Rural Development Society, #28 Vinoba Extension, Pallandi Govindappa Road, Kallur-572 220. Gubbi Tq, Tumkur Dist. Ph: 2661 4674, Mobile: 94486 72803, e-mail: shreyoshree44@ymail.com	This is a Rural Development Organization operating in Tumkur, Hassan, Ramanagaram and Bangalore Districts with the goal to integrate rural development of poor and marginal communities, mainly women and disadvantaged rural people. It has not conducted 5 evaluations studies over the past 5 years which means inconsonance with essential eligibility criteria of paragraph 11(2)(D) of the Empanelment Manual.

It was elaborated that the “*desk appraisal team*” had taken the date of eligibility for meeting “*Essential eligibility criteria*” as 01-04-2014 in accordance with the contents of paragraph 11 (2) (A) of the manual.

The case of each of these applicant organizations was gone through by the committee and they were all found to be in accordance with those detailed in Table-II above. **Hence all the 10 applicant evaluation consultant organizations were resolved to be not capable of being empanelled at this juncture by reason of their not satisfying at least one of the “Essential eligibility criteria” as detailed in paragraph 11 (2) of the manual.**

However, in case of those organizations **who have not met only the criteria of having been formed/registered for a period of at least five years preceding 2014-15**, they may be allowed to apply for empanelment in the coming years with the documentations submitted this year being valid. They will however

have to remit the “*processing fee*” again and will have the right to submit additional documents.

The desk appraisal team informed that in case of one applicant evaluation consultant organization i.e. M/s Divine International Foundation, the total score in desk appraisal was 96. This was less than the minimum (which is 100) needed for being eligible for empanelment. The committee perused the scoring and scores, and found them to be in order. **It was thus resolved that the applicant organization is ineligible for empanelment at this juncture as per paragraph 19 of the Empanelment manual.**

Based upon the above categories of issues involved, 11 of the 50 applicant evaluation consultant organizations were held ineligible for empanelment in the year 2014-15.

The score sheet of the balance 39 (50-11=39) applicant evaluation consultant organizations was then considered. The scoring had been done by the desk appraisal team and given to the Chairperson of the Committee as prescribed in paragraph 17 of the manual.

Copies of the detailed score sheet was given to all members of the committee. The members agreed to the score in principle. **Since the scores of each of them was above 100, it was resolved that all the 39 applicant consultant organizations were eligible for empanelment for the period 2014-15 to 2016-17.**

The Chairperson of the committee requested that views may be given on how to fix the “*sectoral expertise*” for these 39 applicant evaluation consultant organizations eligible for empanelment. After the discussion, it was resolved that,

- a. Where the score of the applicant evaluation consultant organization in any sector is 3 or more, the organization shall be considered as having “*sectoral expertise*” in those sectors.
- b. Where the score of applicant evaluation consultant organizations in no sector is 3 or more, they may be empanelled without allotting any “*sectoral expertise*”. With passage of time these, will be doing evaluations either following due process in KEA or elsewhere. The reports of these future

evaluations may be used to update and allot sectoral expertise for those sectors where their score becomes “3” or more.

Using these resolutions, the list of 39 applicant evaluation consultant organizations and their sectoral expertise is prepared as **Annexure 1** to the proceedings recorded here.

The meeting ended with a vote of thanks to all the members.

Chief Evaluation Officer
Karnataka Evaluation Authority